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PATENT

Case Docket No. GLAUKO.010A

Date: April 28, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hosheng Tu, et al.
Appl. No. : 10/046,137
Filed : November 8, 2001
For : DRUG-RELEASING
TRABECULAR IMPLANT
FOR GLAUCOMA
TREATMENT
Examiner : P. Bianco
Group Art Unit : 3762

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 28, 2004

(Date)

James W. Hill, M.D., Reg. No. 46,396

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TRANSMITTAL LETTER

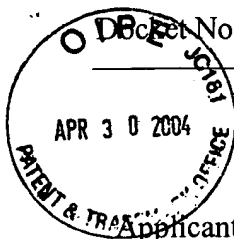
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with fifteen (15) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

James W. Hill, M.D.
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Patent No.: GLAUKO.010A

Customer No. 20,995

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant : Hosheng Tu, et al.
App. No. : 10/046,137
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For : DRUG-RELEASING TRABECULAR
IMPLANT FOR GLAUCOMA
TREATMENT
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing fifteen (15) references that are also enclosed.

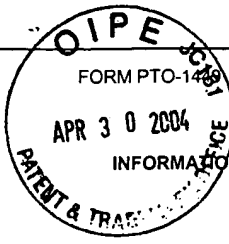
This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/28/04

By: James W. Hill, M.D.

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 <p>FORM PTO-1489</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>ATTORNEY DOCKET NO. GLAUKO.010A</p> <p>APPLICATION NO. 10/046,137</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>	<p>APPLICANT Hoshen Tu, et al.</p>	
	<p>FILING DATE November 8, 2001</p>	
	<p>GROUP 3762</p>	

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MAY 05 2004

TECHNOLOGY CENTER R3700

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,853,224	08-01-1989	V. Wong			
	2	4,883,864	11-28-1989	M. Scholz			
	3	4,997,652	03-05-1991	V. Wong			
	4	5,164,188	11-17-1992	V. Wong			
	5	5,443,505	08-22-1995	V. Wong, et al.			
	6	5,766,242	06-16-1998	V. Wong, et al.			
	7	5,824,072	10-20-1998	V. Wong			
	8	6,217,895	04-17-2001	H. Guo, et al.			
	9	6,251,090	06-26-2001	R. Avery, et al.			
	10	6,299,895	10-09-2001	J. Hammang, et al.			
	11	6,331,313	12-18-2001	V. Wong, et al.			
	12	6,413,540	07-02-2002	Y. Yaacobi			
	13	6,416,777	07-09-2002	Y. Yaacobi			
	14	6,436,427	08-20-2002	J. Hammang, et al.			
	15	6,548,078	04-15-2003	H. Guo, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	16							
	17							
	18							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	19	
	20	
	21	

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EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	